

EV 550720542

Rec'd PCT/PTO 05 NOV 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.10/533,018
Confirmation No.9299
International Application Filing Date October 31, 2003
National Application Filing Date April 27, 2005
Inventors Neville John Freeman et al.
Assignee Farfield Sensors Limited
Group Art Unit Unassigned
Examiner Unassigned
Attorney's Docket No. UR9-001
Customer No. 021567
Title: Sensor Device for Determining Protein Aggregation

RESPONSE TO AUGUST 11, 2005 NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371

To: Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria VA 22313-1459

From: Deepak Malhotra (Tel. 509-624-4276; Fax 509-838-3424)
Wells St. John P.S.
601 W. First Avenue, Suite 1300
Spokane, WA 99201-3828

Sir:

- I. This replies to the Notification of Missing Requirements (PCT/DO/EO/905 - 371 Formalities Notice) mailed August 11, 2005.
- II. A copy of the Notification of Missing Requirements (PCT/DO/EO/905-371 Formalities Notice) is enclosed.

ADDITIONAL PAPERS

III. In addition to payment of the filing fees, the following papers are being filed in Response to the Notice to File Missing Parts

- A. Inventors' Declaration (originally executed).
- B. An Assignment for recordation, including Assignment Recordation Cover Sheet.
- C. Petition for Extension of Time (one month)

COMPLETION FEES

IV. The following Fees are enclosed:

- A. Surcharge Fees
Late payment of filing fee and/or late filing
of declaration or oath (37 C.F.R. Section 1.16(e)) \$ 65.00

Total Completion Fees \$ 65.00

ADDITIONAL CLAIMS FEES

V. The following Fees are enclosed:

- A. Total Additional Claims Fees (9 total claims over 20) \$225.00
- B. Multiple Independent Claims Surcharge 180.00

Total Claims Fees \$405.00

EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time (small entity fee) for which is set out in 37 C.F.R. Section 1.17(a)(1), for one month.

1-Month Ext. Fee \$ 60.00

If an additional extension of time is required, please consider this a petition therefor.

TOTAL FEES DUE

VI. The total fee due is:

Completion fees	\$ 65.00
Claims Fees	405.00
Extension fee (if any)	60.00
Assignment Recordation Fee	40.00
 Total Fee Due	 <u>\$ 570.00</u>

PAYMENT OF FEES

VII. Enclosed is a check in the amount of \$570.00.

Please charge Account No. 23-0925 for any fees that may be due by this paper.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 23-0925.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)
37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or
declaration on a date later than the filing date of the application)
37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section
1.136(a))
37 C.F.R. Section 1.17 (application processing fees)

Respectfully submitted,

Dated: Nov 8, 2005

By: 

Deepak Malhotra, Reg. No. 33,560
Wells St. John P.S.
W. 601 First Ave., Suite 1300
Spokane, WA 99201-3828
Facsimile: 509-838-3424
Telephone: 509-624-4276
Customer No. 021567

EV550720542



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/533,018	Neville John Freeman	UR9-001

INTERNATIONAL APPLICATION NO.

PCT/GB03/04731

I.A. FILING DATE	PRIORITY DATE
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10/31/2003

11/01/2002

21567
 WELLS ST. JOHN P.S.
 601 W. FIRST AVENUE, SUITE 1300
 SPOKANE, WA 99201

CONFIRMATION NO. 9299

371 FORMALITIES LETTER



OC000000016742258

Date Mailed: 08/11/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/27/2005
- Copy of the International Search Report filed on 04/27/2005
- Preliminary Amendments filed on 04/27/2005
- Oath or Declaration filed on 04/27/2005
- Small Entity Statement filed on 04/27/2005
- U.S. Basic National Fees filed on 04/27/2005
- Priority Documents filed on 04/27/2005

COPY

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$405 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

RECEIVED

JUN 11 2005

MAIL ROOM

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$470 for a Small Entity:

- \$65 Surcharge.
- Total additional claim fee(s) for this application is \$ 405
 - \$225 for 9 total claims over 20.
 - \$180 for multiple dependent claim surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

TERRY M JOHNSON VESSELS

Telephone: (703) 308-9140 EXT 221

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,018	PCT/GB03/04731	UR9-001

FORM PCT/DO/EO/905 (371 Formalities Notice)

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NOV 14 2003

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Approved for use through 07/31/2006. OMB 0351-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/533,018
	Filing Date	April 27, 2005
	First Named Inventor	Neville John Freeman et al.
	Art Unit	Unassigned
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	UR9-001

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Check; Postcard; Inventors' Declaration; Copy of Missing Requirements; Assignment and Recordation Cover Sheet
Remarks 11/17/2005 MKAYPAGH 00000074 10533018 01 FC:2615 225.00 OP 02 FC:2616 180.00 OP 03 FC:2617 65.00 OP		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	WELLS ST. JOHN P.S. (Customer No. 021567)		
Signature			
Printed name	DEEPAK MALHOTRA		
Date	Nov. 8, 2005	Reg. No.	33,560

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	FILED BY U.S. EXPRESS MAIL NO. EV 550720542 US		
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EV550720542

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 570.00

Complete if Known

Application Number	10/533,019
Filing Date	April 27, 2005
First Named Inventor	Neville John Freeman et al.
Examiner Name	Unassigned
Art Unit	Unassigned
Attorney Docket No.	UR9-001

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 23-0925 Deposit Account Name: WELLS ST. JOHN P.S.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
29	- 20 or HP = 9	x 25	= 225.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
3	- 3 or HP = 0	x 100	= .00

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
	360	180.00

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
	- 100 =	/ 50 =	(round up to a whole number) x	=

4. OTHER FEE(S)

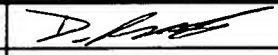
Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late Filing Surcharge (\$65); Assignment Rec. (\$40); Ext. Fee (\$60)

Fees Paid (\$)

\$165.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 33,560	Telephone (509) 624-4276
Name (Print/Type)	DEEPAK MALHOTRA		Date Nov. 8, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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